



DIRECT INVESTMENT APPLICATION

CAPTURED GROWTH (UK EQUITIES) - APRIL 2010

Please use black ink and write in CAPITALS

1. YOUR DETAILS - 1ST APPLICANT

Title (Mr/Mrs/Miss/Ms):

Surname:

Full first names:

Date of birth: - -

Permanent home address:

Postcode:

Country:

Phone Number:

Email:

2ND APPLICANT DETAILS (JOINT APPLICATIONS ONLY)

Title (Mr/Mrs/Miss/Ms):

Surname:

Full first names:

Date of birth: - -

Address (if different):

Postcode:

Country:

Phone Number:

Email:

2. ON BEHALF OF A CHILD

If you are investing on behalf of a child (not aged 18 or over), please fill in the child's details here.

Child's full name:

Child's date of birth: - -

3. NATIONAL INSURANCE NUMBER

Do you have a National Insurance (NI) number?: **YES** **NO** If 'Yes', please write it below.

You should be able to find your NI number on a payslip, form P45 or P60, letter from the Inland Revenue, letter from the Benefits Agency, or pension order book.

1ST APPLICANT

2ND APPLICANT

4. COUNTRY OF RESIDENCE FOR TAX PURPOSES

Country:

5. INVESTMENT DETAILS

Please indicate the approximate amount you wish to invest. Minimum £3,000.

Product name: Gilliat Captured Growth (UK Equities) - April 2010	
Underlying	Amount to be invested
FTSE® 100 Index	£

Cheques should be made payable to **Meteor Asset Management Limited Client Account (Ref: Gilliat)**. If you are sending us a building society cheque, it should be payable to **Meteor Asset Management Limited Client Account (client name) (Ref: Gilliat)**. If you send money by telegraphic transfer, the details you require are: Meteor Asset Management Limited Client A/C, HSBC Bank plc, P.O. Box 105, 33 Park Row, Leeds LS1 1LD. Sort code: 40-27-15. Account number: 93666182

6. PROVISION OF INFORMATION

For security purposes, please provide us with a password so we can give you information over the phone:

Please indicate if you would like access to our web-based services (if so, please make sure you have provided your e-mail address in **section 1**)

YES NO

7. HAVE YOU RECEIVED FINANCIAL ADVICE?

Gilliat recommends that all clients seek financial advice to help establish whether this investment fits with their circumstances and financial objectives.

Have you received financial advice relating to this investment?

- Yes**, I have received advice from (name of firm)
- No**, I haven't received financial advice.

If you have received advice, please proceed to Section 8.

If you have not taken financial advice please answer the following questions so that we can assess whether the plan is appropriate for you.

- Please indicate if you have ever held:
 - Any investment where the capital and investment returns are variable and are based on the performance of the underlying securities, such as equities, commodities, indices, corporate bonds
 - A structured product (a fixed term investment such as the one you are applying for)
- Do you understand that the return of Investment Capital and the payment of a Growth Payment will depend on the performance of the Underlying and you may get back less than your original investment? **YES** **NO**
- Do you understand that the plan is designed to be held for the full Investment Term and if you were to cash in early the amount you receive would depend on the value of the investment at the date of sale and that this value could be less than the amount you invested? **YES** **NO**
- Have you read and understood the Investment Guide and Terms, incorporating the Terms & Conditions? **YES** **NO**
- Have you read and understood fully the Risks provided in the Investment Guide? **YES** **NO**

8. DECLARATION & AUTHORITY

I/we declare that: I declare I am 18 years of age or over and that I am not, or acting on the behalf of, a resident of the United States; and that I will not assist any person who is so resident to acquire securities in the Plan. Further I agree to inform you immediately should I become a resident of the United States. I confirm adherence to the requirements contained in the Terms and Conditions.

I/we authorise Meteor Asset Management: To hold my cash subscription, investments, interest, dividends and other rights or proceeds in respect of these investments and any cash or other proceeds.

I/we have read the relevant Investment Guide and Terms, incorporating the Terms & Conditions and accept the terms under which my/our investments will be managed. I/we declare that this application form has been completed to the best of my knowledge and belief. I/we understand that neither Gilliat Financial Solutions nor Meteor Asset Management Limited provide investment advice and confirm that I/we have received advice on this investment from an independent Financial Adviser as shown above or, if I/we have not received advice, that I/we am satisfied that I/we do not need to take financial advice.

1st Applicant
Signature:

2nd Applicant
Signature:

Date:

Date:

Note: When you have completed and signed this application form, please return it to your Financial Adviser or to:

Meteor Asset Management Limited, 55 King William Street, London EC4R 9AD

FOR FINANCIAL ADVISER'S USE ONLY

Adviser Firm:

Special
instructions re
investment:

FSA Firm number:

Name of adviser:

Prevention of money laundering

I can confirm that I have carried out the appropriate identity checks and attached the 'Verification of Identity Certificate'. I have enclosed signed copies of the documentary evidence with this certificate. I have seen the original documents and any that needed a signature were already signed.

Signed on behalf of the above: